Introduction

The InWARN Emergency Operational Plan describes how to implement the InWARN Mutual Aid Agreement. The InWARN Mutual Aid/Assistance Emergency Operational Plan is the operational extension of the agreement and outlines the procedures that need to be in place to make the InWARN Agreement work. In other words, the Operational Plan provides the “game plan” for how to implement the InWARN Agreement. This Emergency Action Plan is a working document that member utilities can use to respond during emergencies. The AWWA Resource Typing Manual identifies the type of players who execute the “plays” and provides details on 24 types of water sector resource teams and supporting guidance.

Assumptions

Several key assumptions form the basis of this document and implementation procedures for InWARN:

- **Emergency Response Plans are in place.** While utility specific Emergency Response Plans (ERPs) are not within the scope of this document, InWARN encourages all utilities to develop or update an ERP. With the development of the National Incident Management System (NIMS), ERP updates include how the utility uses the Incident Command System (ICS), how the utility integrates with its local emergency management and response agencies, and how the ERP addresses vulnerability assessments, if they are also completed.

- **Employees are trained according to ERP, ICS, and NIMS.** In order to respond to all emergencies, member utilities provide practical employee training regarding the utility ERP, ICS, and NIMS. Additional training on how to use mutual aid/assistance resources ensures the ability to coordinate response with outside agencies. Section 9 of this document includes a list of recommended NIMS and ICS training.

- **Utilities have signed a single, statewide omnibus InWARN Agreement.** The InWARN Agreement establishes the foundation of InWARN and serves as the legal instrument authorizing the request for mutual aid/assistance, provides a mechanism for reimbursement, identifies the legal protection and immunities for employees and for use of resources, and establishes eligibility for possible federal reimbursement of expenditures associated with mutual aid/assistance.
• **Pre-Event Planning/Preparation has been conducted and periodically updated.** Pre-event planning/preparation **needs to be performed by all member utilities** and includes:
  - Registration of Emergency Contacts on the InWARN WebPage ([www.inwarn.org](http://www.inwarn.org))
  - Identifying Available Resources Within Your Utility
  - Review of the InWARN Emergency Operations Plan
  - Periodic review and revisions to your utility’s emergency contact information
  - Participation in periodic InWARN system tests
  - Participation in InWARN table top exercises
  - Updating available equipment and spare parts inventory
  - Encouraging utility personnel to develop family preparedness plans (if required to activate)

• **InWARN is coordinated with local and state authorities.** Coordinated response and access to restricted areas relies on communication between the InWARN and the following groups or organizations:
  - Member Utilities
  - Local emergency management agencies
  - State emergency management agency
  - State drinking water primacy agency
  - State wastewater permitting authority

The relationship between InWARN, state and local agencies, and utilities, is defined by the mutual aid agreement and documented in the InWARN Operational Plan. Exercising InWARN with the Member utilities and other response agencies increases the chance of success in responding to an incident with InWARN.

**SECTION 1: InWARN Activation**

For all types of emergencies, a utility analyzes the situation and determines the best way to address its needs. First, the utility may call upon neighboring utilities with which they have pre-written and established local agreements. Second, the utility can access InWARN. The InWARN Emergency Operational Plan describes how Member utilities activate InWARN. Third, public utilities during major emergencies when communication systems are impaired may need to request mutual aid from InWARN through the State’s Emergency Command Center.

Activation of the InWARN Agreement can occur prior to a declared local emergency or, alternately, during a declared emergency. Some types of emergencies, such as severe storms or blizzards, can be characterized as a “warning” or “notice” event. Based on forecast or other information, it may be possible to pre-stage InWARN Response Teams and prepare Member utilities for possible deployment. In contrast, other types of emergencies provide no warning or notice.
Emergencies with Warnings

InWARN coordination may begin in order to maintain communication during a “warning” event such as an impending severe storm or blizzard warning. InWARN webpage “inwarn.org” will likely be the means used to provide warnings. The purpose of this activation is to ensure preparedness and timely, proactive response. In these cases, the InWARN Steering Committee can initiate the following activities:

- Notify Member utilities of the expected conditions
- Maintain contact with Member utilities about changing conditions and information
- Receive requests for aid/assistance and follow-up actions

Requesting Utility Authorized Representative

The Authorized Representative of a utility can request mutual aid/assistance. In general, the Authorized Representative is responsible to:

- Analyze the situation and determine the best alternatives to address the emergency. (Use the Requesting Utility Authorized Representative Checklist – Attachment A)
- Using the AWWA Resource Typing Manual, determine resources and personnel needs that cannot be met by the utility, yet could be available from mutual aid/assistance.
- Identify a Mutual Aid/Assistance Coordinator to address care, feeding, and other support for incoming mutual aid/assistance.
- Notify local emergency management agency of your need for mutual aid/assistance.
- Contact neighboring utilities with whom the utility has a local mutual aid/assistance agreement or who may be a Member utility of InWARN to determine if they are also affected by the emergency or can provide the mutual aid/assistance.
- If neighboring utilities are affected by the emergency and unable to provide the mutual aid/assistance needed, use the InWARN webpage or contact your Regional Emergency Operations Coordinator (REOC) to request mutual aid/assistance through the InWARN Network.
  - Complete and transmit if possible to the REOC the Emergency Notification From (Attachment B)
  - Discuss the following items to confirm capability to manage the mutual aid/assistance:
    - Type of incident
    - Location, size, expected duration
    - Impact on the utility
    - Resource needs
      - Personnel skills and certification
      - Resources type and capability
      - Materials
    - Estimated length of time aid is required
    - Reporting location (Staging Area)
    - Point of Contact at the Staging Area
    - Number of emergency response agencies in response
    - Communication capabilities
- Once mutual aid/assistance is deployed, notify the local emergency management authority of the arrangements for incoming resources.
InWARN EMERGENCY ACTION PLAN (Continued)

• Identify a Staging Area for incoming mutual aid/assistance and provide appropriate staffing for reporting.

Notification of the Drinking Water Primacy Agency is needed during any InWARN activation. During an emergency the following IDEM personnel will be the contacts and interface with the State Emergency Operations Center, EOC. The Indiana Department of Environmental Management is designated as the response agency under Emergency Support Function (ESF) #3, Public Works and Engineering, of the National Incident Management System and standard operating procedures of the Indiana EOC.

Sherri Winters, Security and Counter-Terrorism Coordinator, IDEM
317 232-8624 work
317 318-5540 home
317 402-8914 cell

Pat Carroll, Chief, IDEM Drinking Water Branch
317 232-8741 work
317 821-8597 home
317 698-4623 cell

Liz Melvin, Chief, Drinking Water Branch, Inspection Section
317 234-7418 work
317 418-3975 cell

Always back up verbal notifications with a written communication (fax or e-mail) between requesting and responding utilities. It is encouraged that this information be shared with the InWARN REOC for coordination purposes especially when it is a wide-area incident affecting multiple utilities.

Mutual Aid Emergency Response Commander
Utilities requesting assistance will identify an Emergency Response Commander and a Command Post to coordinate the needs of incoming mutual aid/assistance resources. The requesting utility must contact the responding utility to determine who is responsible to coordinate these responsibilities, which are detailed in Attachment C: Requesting Utility Mutual Aid/Assistance Manager Checklist, and in general include:

• Identification of the Staging Area
• Communications operability
• Navigation considerations
• Financial services availability
• Care and shelter of personnel and resources
• Feeding operations
• Safety measures
• Methods of documentation
• Reimbursement process
Staging Area Manager
The Mutual Aid Incident Commander will work very closely with a Staging Area Manager. The utility requesting aid is encouraged to identify staging areas where staff can organize and prepare incoming mutual aid/assistance for deployment into the field. Key responsibilities, which are detailed in Attachment D, include:

- Managing field deployment of mutual aid/assistance resources
- Vehicle maintenance and fueling
- Coordinating daily briefings and assignments
- Support for team assignments and tracking documentation

Responding Utility Authorized Representative
In general, the responding utility’s Authorized Representative is responsible to (See Attachment E: Responding Utility Authorized Representative Checklist for more detail):

- Upon notice of the emergency, determine ability to meet own needs and identify available resources
- Respond to the request for aid posted on the InWARN Webpage directly or contact the InWARN REOC to notify them of available resources, based on the resources described in the AWWA Resource Typing Manual. When possible the InWARN REOC will assist in the coordination of the response in an effort to insure that the closest available resources are activated during a response.
- Upon contact from a utility in need, discuss key items and the requesting utility’s ability to provide care for personnel and resources
  - Identify resources operation qualification requirements
  - Confirm shelter and sleeping arrangements
  - Review reimbursement process to determine whether the responding utility will be following the reimbursement article of the Agreement
- Review request to determine what aid/assistance the responding utility can provide and confirm approval from utility management to provide aid/assistance
- If agreement is reached on the above items, complete and transmit the appropriate Cost Estimate Form, Attachment F.
- Prepare teams:
  - Identify supervisors and teams
  - Identify Communications Plan between supervisor of deploying team and home utility
  - Conduct orientation and deployment briefing with teams

If agreement is not reached or resources are not available, contact the InWARN REOC to inform them of the inability to execute aid/assistance.
SECTION 2: InWARN Member Utility Mobilization – Response – Demobilization

This section describes how InWARN Member utilities mobilize and respond to a request for aid/assistance. Elements of InWARN mobilization include pre-deployment activities, deployment of the responding utility, integration of responding utility with requesting utility, daily activity briefings, demobilization, and coordination of reimbursement information.

Pre-Event Alert
In many instances advance information is available about approaching storms, floods, and other potential natural disasters. In the event such information is available it will be posted on the InWARN web site and notice sent to all members. Upon receipt of notice of a possible InWARN mobilization event, members should insure they have given consideration to the elements listed in the Pre-Event Planning/Preparation section.

Pre-Deployment Activities
Prior to deployment, responding utilities are responsible to ensure employees are ready to manage the situation (details are provided in Attachment E):

- Review conditions of the emergency with employees
- Ensure appropriate medical precautions are taken (e.g. immunizations)
- Review documentation procedure to ensure staff provide information needed for reimbursement
- Establish daily communications plan between deployed resources and home utility

Deployment of Responding Utility
When deploying resources leave the utility:

- Communicate with Requesting Utility
  - Inform them of deployment
  - Confirm reporting contact
  - Confirm logistical support
  - Confirm condition of the care and shelter facilities
- Contact responding resources with any updates

Daily Activity Briefing
Daily Incident Action Plans (IAP) are created each day by the Requesting Utility and Responding Utility Supervisors—using the standard ICS forms and process. See Attachment G (Incident Briefing) and Attachment H (Incident Objectives). In summary, the steps include:

- List the incident objectives and work plan for the next operational period
- Provide an organizational list or chart that depicts how all response personnel are to be organized
- List the work assignments and responsibilities for the next operational period, including site-specific safety plans
- Provide the communications plan and messages, including radio and telephone communications, methods, and numbers for all incident personnel
- Define the resources needed to accomplish the work order
• Specify an Environmental, Health and Safety plan to follow in case of a responder emergency
• Identify resources at risk
  o Review minimum safety requirements to be employed by requesting utility
• Request utility maintenance and daily resources checks

Make enough copies of the IAP for all InWARN Response Team Members. Preserve the original IAP for record-keeping purposes.

**When doing a write-up, do it in MicroSoft Word.** Experience with Emergency Operations Centers has shown that information transmitted to the EOC in a spreadsheet format is difficult to digest and requires reformatting to put into a narrative-based communications universe. Word documents can be simply cut/pasted into flowing timeline narratives used in the State EOC.

**Demobilization**

Follow standard ICS practices of demobilization, including:

- On small incidents, the demobilization process may be quite simple, and can be handled by a InWARN Response Team Member
- On larger incidents, a Response Team Member can be designated to develop a Demobilization Plan
- Capture personnel evaluations and identify future tactical resource needs

If a formal Demobilization Plan is indicated, ensure that it includes the following five sections:

- General Information
- Responsibilities
- Release Priorities
- Release Procedures
- Directory (maps, phone listings, etc.)

**Responding Utility Demobilization Activities**

While preparing to demobilize, the responding utility is responsible to:

- Deliver documentation collected during response to the requesting utility
- Return all resources to the requesting utility that the responding utility may have in their possession
- Return any sensitive or confidential information to the requesting utility
- Collect all information on cost and process it through the requesting utility finance and administration staff. Information includes:
  - Injury reports
  - Timesheets
  - Material purchases
  - Resource use
- Submit bills for services as appropriate, according to the InWARN Mutual Aid Agreement

**Requesting Utility Demobilization Activities**
InWARN EMERGENCY ACTION PLAN (Continued)

While preparing to demobilize the requesting utility is responsible to:

- Collect damage and response cost figures
- Accept bill(s) from responding utilities
- Provide payment, according to the InWARN Agreement
- As appropriate, submit for FEMA or other reimbursement mechanisms
SECTION 3: After Action Reviews, Reports, and Improvement Plan

Within two (2) weeks of the conclusion of each event in which InWARN was activated After-Action Reviews should be completed by the requesting and responding utilities. The After Action Reviews should summarize what went well, what didn’t go well, and may include suggested changes to the InWARN operational plan. These After-Action Reviews are to be sent to the InWARN Steering Committee Chair.

The InWARN Steering Committee should produce an After Action Report following each event in which InWARN was activated. The After Action Report will summarize the events and actions taken in advance and during the response to the event. The results of the After Action Reviews will be incorporated into the After Action Report.

Within four (4) weeks of the conclusion of each event the InWARN Steering, Operations and Response Committees should meet to discuss the response and formulate revisions to the InWARN Operational Plan based on the results of the After Action Reviews. Following the after action meeting the Operational Plan, if revised, will be posted on the InWARN web site and a notice will be sent to all Member utilities informing them as to the changes made.
Attachment A: Requesting Utility Authorized Representative Checklist

☐ Analyze the situation and determine the best alternatives to address the emergency.
   - Ensure a real need exists. Mutual aid/assistance is designed to augment resources already effectively committed.

☐ Using the resource types in the AWWA Resource Typing Manual, determine resource and personnel needs that cannot be met by your utility that may be available through mutual aid/assistance.

☐ What non-utility agencies are responding to the emergency: law, fire, public works, state environmental, public health, emergency management, American Red Cross, etc.?

☐ Determine how significant the emergency is; does it include city, county, state, or federal resources?

☐ Has a local emergency been declared by the local government?

☐ Are normal power, natural gas, vehicle fuel, and communications available?

☐ Complete Attachment B, WARN Emergency Notification, to inform utilities of needs and expected types of resources.

☐ As needed, identify a person at your utility to manage all incoming mutual aid/assistance. The Mutual Aid/Assistance Manager can use Attachment C, Mutual Aid/Assistance Manager Checklist.

☐ Notify the local emergency management agency of your need for mutual aid/assistance.

☐ Contact neighboring utilities with which your utility has a local mutual aid/assistance agreement. Provide them the completed Attachment B, WARN Emergency Notification.

☐ If assistance is not available from neighbors, identify other WARN Member utilities to determine if they are also affected by the emergency or can provide the mutual aid/assistance.

☐ If agreement on the availability and use of equipment or resources is reached, request cost estimate for mutual aid/assistance. Notify the Mutual Aid/Assistance Manager to prepare for the incoming aid.

☐ If agreement is not reached or resources are not available, contact other WARN Response Team Members.

☐ Once mutual aid/assistance is deployed, notify the local emergency management authority of the arrangements for incoming resources.
Notify local utility staff of incoming mutual aid/assistance and identify the process for assigning work between utility staff and mutual aid/assistance teams.

During demobilization:
  - Collect names of mutual aid/assistance teams and supervisors
  - Send letter of thanks
  - Send copies of After Action Report
**Attachment B: WARN Emergency Notification**

Fill out as much information as possible and fax to potential responding utilities and WARN Response Team.

<table>
<thead>
<tr>
<th>Incident</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date/Time:</td>
</tr>
<tr>
<td>Utility Name:</td>
</tr>
<tr>
<td>City and County:</td>
</tr>
<tr>
<td>Authorized Representative Name:</td>
</tr>
<tr>
<td>E-mail:</td>
</tr>
<tr>
<td>General Location of Emergency:</td>
</tr>
</tbody>
</table>

| Declaration of Local Emergency made by local government: Yes or No |
| If Yes, when and by whom: |

| Type of Emergency (check all that apply) |
| Contamination | Earthquake | Fire |
| Flood | Hurricane | Ice Storm |
| Tornado | Other |

| Damage (check all that apply) |
| Storage | Treatment | Waste Collection |
| Water Aqueduct System | Water Supply | Water Distribution System |

| Describe Damage Detail: |

| # of Customers Affected: |
| Operational Status: | Boil Water Notice/Advisory | Do Not Use Notice/Advisory | Do Not Drink/Advisory |
| Not Operating | Status Unknown |
| Power Sources: | Power is operational | Power is out | Generator power |
| Damage area: | Accessible | Under water | Inaccessible due to debris |
| Communications Operating: | Landline | Cell | Satellite |
| Radio (what band): |

| Resources Needed for Repair (Follow resource types in AWWA Resource Typing Manual): |
| Materials |
| Resources |
| Labor |

| Estimated Time Teams are Needed for Repairs: |

<p>| Preferred Resources Requested (Follow resource types in AWWA Resource Typing Manual): |
| Single Resource | Team | Kind | Type | Description |</p>
<table>
<thead>
<tr>
<th>Staging Area Reporting Location (address):</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contact at Reporting Site Staging Area</td>
</tr>
<tr>
<td>Name:</td>
</tr>
<tr>
<td>Title:</td>
</tr>
<tr>
<td>Cell Phone:</td>
</tr>
<tr>
<td>Other Communications:</td>
</tr>
<tr>
<td>Form Completed By</td>
</tr>
<tr>
<td>Name:</td>
</tr>
<tr>
<td>Title:</td>
</tr>
<tr>
<td>Phone Number:</td>
</tr>
<tr>
<td>Cell Phone:</td>
</tr>
</tbody>
</table>
Attachment C: Requesting Utility Mutual Aid/Assistance Manager Checklist

☐ Identify staging area and staging area manager for incoming utilities to report to.
   o Identify location outside the immediate impact area.
   o What is the address of the Staging Area?
   o Staging Area Manager Name:
   o Staging Area Manager Contact Information:
   o What access routes are open to the Staging Area?
     ▪ Interstate or other highway open?
     ▪ Rail access?
     ▪ Airport nearby?
     ▪ Does structural or nonstructural debris block roadways or access?
   o What utilities are operating at the Staging Area?
   o What communication links are operating at the Staging Area (landline, pay phone, amateur radio, normal utility radio, etc.)?
   o What vehicle repair services are available for heavy or light equipment?
     ▪ Does responding utility need to bring a mechanic, tools, equipment and supplies?
     ▪ Are tire repair services available?
     ▪ If not available at staging area, are commercial services available?
   o Are fuel services available (gasoline and diesel)?

☐ Identify communications operability:
   o What phone systems are operational?
     ▪ Landline  Cell Phone  Satellite Phone
     ▪ Does requesting utility have satellite phones to provide responding utility?
     ▪ Does requesting utility have local portable cell phone systems (temporary, mobile cellular systems)?
   o What radio systems are available?
     ▪ What frequency does the requesting utility operate on?
     ▪ Is requesting utility providing their radios to responding utility?
     ▪ If yes, are they going to be available at the Staging Area?
     ▪ If there are not enough radios to give to all responding utility staff, are there enough radios to give to the responding utility supervisors?
     ▪ Does utility use amateur radio equipment for emergencies?

☐ What navigation issues should the responding utility be aware of?
   o Are street signs in place?
   o Are utility maps available (hardcopy or electronic)?
   o Do utility maps include GPS coordinates?
   o Are GPS units available?
   o Are maps and/or GPS units going to be available at the Staging Area?
   o Are interstates and highways open?

☐ Identify financial services capabilities:
NOTES

- Are ATMs functional?
- Are credit cards being accepted locally?
- Are banks open?
- Is cash the only source of payment? If yes, what is recommended amount of cash to bring?
- Are coins needed for laundry or other services?

Identify Care and Shelter arrangements:

- Is water available for:
  - Drinking
  - Bathing
  - Sanitation
- If no, is bottled water available, or do responders need to bring?
- What restroom or sanitation services are available near the worksite (and how far away)?
  - Are portable toilets in use at worksites?
- What utility outages are affecting local hotels/restaurants?
  - Power
  - Natural Gas
  - Landline
  - Cell Phone
  - Utility Radio
  - None – all operational
- Are normal hotel/motel accommodations available? Yes/No
  - If yes:
    - Who is arranging for rooms? Requesting or Responding Utility
    - Who is paying for rooms? Requesting or Responding Utility
    - How far are the arrangements from the staging area?
    - How far are the arrangements from the work area?
  - If no:
    - Are fire base camps nearby?
      - If yes, can utility staff use them?
      - If no, are contract services available through the county or state? (These services typically come with complete self-sustained operations.)
      - If no, should responding utility staff be self-sufficient and bring own items?
    - Has the requesting utility established temporary shelter operations on utility grounds?
      - If yes, can responding utility co-locate?
    - Is temporary shelter provided by another agency?
      - If yes, what is the name of the agency (e.g. American Red Cross, faith based organization, etc.)
      - Where is it located (address):
      - Are the following services available at the temporary shelter:
        - Restrooms:
        - Portable toilets:
        - Showers:
        - Beds or cots:
NOTES

- Bedding:
- Laundry facilities:
  - If no are they nearby?
  - Are they coin operated?
- If temporary shelter is not available does the responding utility need to bring own shelter (e.g. tents, campers, etc.)?
  - What area is available to camp?
  - How far is it from the staging area and work areas?
  - If yes, are the following items available and fully functional?
    - Water Hook Up:
    - Power Hook Up:
    - Sewer Hook Up: If no, is a refuse dump nearby?
    - Restrooms:
    - Portable toilets:
    - Showers:
- Laundry facilities:
  - If no are they nearby?
  - Are they coin operated?
- Are generators allowed at the campsite?
- Is fuel available?
  - Is diesel available?

Identify feeding operations:
- Are normal restaurants available in or around the work area or lodging area?
  - How far do the responders need to travel?
  - Who is paying for the meals when ordered? Responding or requesting utility?
- If restaurants are not available:
  - Does requesting utility have alternate feeding operations in place?
    - Mobile canteen
    - Services from American Red Cross or faith based organization (if so, specify who)
    - Contract services
  - Are grocery stores open?
    - If yes, how far are grocery stores from work site or lodging?
    - Is rationing in place?
    - Are grocery stores limited in stock?
  - If grocery stores are available, what support services are available?
    - Cooking facilities with functional utilities?
    - Refrigeration systems local to work site, staging area, or lodging?
    - Ice deliveries in operation or available?

Have curfews or other conditions been enforced by local government that might affect movement to and from worksites, feeding locations, and lodging?

Identify employee safety measures:
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Attachment C (Continued)

- What is the expected temperature and humidity?
  - Is special weather gear required?
- What personal protective equipment is needed beyond basic equipment (hard hat, safety vest, safety shoes, mud boots, work gloves, raingear and eye and ear protection)?
- What additional exposures may responders encounter (e.g. significant odors, contamination, etc.)?
- What personal inoculations should be considered?
  - Tetanus
  - Hepatitis A or B
  - Flu
  - Other
- Are hospitals functional?
- Are paramedic and/or ambulance services functional?
- What is your injury claim process?
- Are chainsaws required to provide response and repairs?
- Are other debris clearance equipment or tools required?
- How significant is the disaster to the public?
  - Routine damage due to storm, flood, fire, or earthquake?
  - Significant damage due to storm, flood, fire, or earthquake (e.g. many homes destroyed, off foundations, etc.)?
  - Significant emotional impact due to loss of life or suffering?
  - What is chance of finding corpses?
- Are counseling services available to manage Incident Stress?

☐ Identify methods of documentation:
  - Requesting utility has electronic or hard copy means of tracking employee hours, materials used, and other documentation?
  - Requesting utility has means to accept digital photography for documentation?
  - Requesting utility optimizes use of ICS forms and documentation?
  - Requesting utility has method to track costs for FEMA reimbursement?

☐ Identify Reimbursement process:
  - Request Cost Estimate of responding resources prior to approving their deployment. (See Attachment F for details.)
  - Approve or disapprove costs prior to requesting deployment.
  - Identify means for managing injury claims.

Name of Person Completing Checklist:
Title of Person Completing Checklist:
Date/Time:
Attachment D: Staging Area Manager Checklist

- Establish Staging Area:
  - Review this checklist to be sure you can address each item.
  - Identify communications ability.
  - Notify utility Emergency Operations Center (EOC) of site location and access.

- From the utility EOC, gather work assignments for incoming mutual aid/assistance.

- Collect map resources for work assignment areas:
  - Obtain GPS or other devices to help mutual aid/assistance resources locate repair locations if street signs are gone.

- Upon Crew arrival:
  - Notify EOC of crew arrival.
  - Identify supervisor of incoming crew.
  - Provide schedule of briefings for the supervisor with your utility supervisors.
  - Provide schedule of briefings for daily work assignments.
  - Provide information or resources to establish communication between the supervisor of incoming crews and supervisor of your utility.
  - Provide system maps and work assignments.
  - Review key standards your utility uses for pipe repairs, fittings, and distribution methods.
  - Identify critical equipment that may need to be used to complete the repairs.
  - Identify location for fuel, supplies, and parts.
  - Where is contaminated soil (spoils) to be placed or relocated?
  - Provide information and necessary forms required for documenting:
    - Work hours
    - Overtime
    - Materials expenses
    - Worksite repair information
  - Provide contact list to supervisor for:
    - Local services that are still available
    - Where to report injuries
    - Where to obtain emergency medical attention

- Maintain daily briefings with supervisor at start and end of the shift to:
  - Review progress
  - Evaluate remaining work
  - Complete documentation

- Report findings to the EOC daily.
Attachment E: Responding Utility Authorized Representative Checklist

☐ If notified of emergency prior to a request for assistance, contact the InWARN Regional Emergency Operations Coordinator to inform them of availability.

☐ When a request for aid/assistance arrives, assess request.
  o Review types of damage and what teams may be expected to deal with (size/type of pipe repairs, etc.). (See Attachment A.)
     Nature of the emergency
     Impact on the utility
     Has an emergency been declared by local government?
     Have curfews or other conditions been enforced by local government that might affect movement to and from worksites, feeding locations, and lodging?
     Determine resource type requirements, evaluate the following needs to select the appropriate resource typing team in the AWWA Resource Typing Manual:
      – Desirable personnel skills and certification
      – Resource type and capability
      – Determine appropriate materials to accompany the teams
  
     Estimate length of time aid/assistance is required
  
  □ Determine method of care and shelter for personnel and resources
    – Review Attachment C (Mutual Aid/Assistance Manager Checklist) with Requesting Utility
  
    □ Confirm billing rates for use of personnel and equipment
  
  o Review types of resources needed, materials needed, number of teams needed, and skills required.
     Identify equipment operation qualification requirements:
      – Security and storage of service vehicles and equipment
      – Identify reporting location
      – Identify Point of Contact at the location
      – Identify designated supervision methodology
      – Responsibility for equipment security
      – Procedures for returning equipment to requesting utility
      – Equipment transfer, inspection, and contact information
      – Licensing requirements for transport
      – Transportation and other equipment’s fuel considerations
      – Managing lost, damaged, destroyed, or stolen equipment
  
    o How long are teams needed? Is there need for "relief” teams for first set of teams?
    o How does sending teams affect your utility current operations?

☐ Review reimbursement expectations and process.
Prepare documentation on the costs associated with sending the assistance, and submit it to the requesting utility. (See Attachment F.)
  o Be clear on how teams would be sheltered and fed. Identify any risk associated with shelter or feeding.
  o Notify elected officials.

Review request to determine what aid/assistance the responding utility can provide. Confirm approval from utility management to provide aid/assistance.

Complete pre-deployment personnel activities.
  o Identify an Incident Commander of the teams. Appoint General Staff (Operations, Planning, Logistics and Finance).
  o Identify how teams are selected. Identify specialized work rules. Review with any union leadership.
  o Identify a communications plan for teams. How do they communicate with each other, the borrowing agency, and family?
  o Identify teams for travel.
  o Conduct review with teams. Review:
    ▪ Level of disaster and impact on community to prepare teams emotionally
    ▪ Conditions and potential for contamination and personal protective equipment needs
    ▪ Logistics arrangement for care, shelter, feeding, etc.
    ▪ Communication plan
    ▪ Employee work rules
    ▪ Medical considerations and needs for inoculation
    ▪ Incident Command System (ICS)
    ▪ Documentation protocols

Prepare resources for deployment:
  o Inspect vehicles for travel and equipment use.
  o Inventory and standardize stock of equipment and supplies on vehicles.
  o Send a mechanic with teams and equipment.
  o Ensure emergency food and water are present on all vehicles.
  o Ensure availability of first aid kits and other emergency supplies.

While teams are away:
  o Check daily with supervisor.
  o Review costs associated with assistance.
  o Review the number of hours each team is working. How long will work last?
  o Identify problems with lodging or feeding.
  o Provide daily summary of events to the General Manager.

Upon return:
  o Hold debriefing with the supervisors within seven days.
  o Hold debriefing with all teams within 14 days. Include General Manager or
other appropriate staff.

- Identify lessons learned.
- Identify problems and successes.
- Review hours worked and efforts made.
- Review ideas to improve own readiness.

☐ Within 60 days:
  - Prepare a report of events to present to the General Manager.
  - Submit bill for personnel and other costs for mutual aid/assistance response.
**Attachment F: Summary of Estimated Costs Form**

Requesting utility completes and signs Part I and faxes to potential responding utilities and WARN Response Team. Responding utilities complete Part II including cost, and fax to requesting utility and WARN Response Team. WARN Response Team tracks information and makes notation on *Attachment J: WARN Request Summary Sheet*.

### Part I TO BE COMPLETED BY THE REQUESTING UTILITY

<table>
<thead>
<tr>
<th>Dated:</th>
<th>Time: hrs</th>
<th>From the County of:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contact Person:</td>
<td>Telephone:</td>
<td>Fax:</td>
</tr>
<tr>
<td>To WARN Member Utility:</td>
<td>Authorized Rep:</td>
<td></td>
</tr>
<tr>
<td>Type of Emergency &amp; Impact to Utility:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>


<table>
<thead>
<tr>
<th>Date &amp; Time Resources Needed:</th>
<th>Staging Area:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Approximate Date/Time Resources To Be Released:</td>
<td></td>
</tr>
<tr>
<td>Requesting Authorized Rep:</td>
<td>Req. Authorized Rep's Signature:</td>
</tr>
<tr>
<td>Title:</td>
<td>Utility:</td>
</tr>
</tbody>
</table>

### Part II TO BE COMPLETED BY THE RESPONDING UTILITY

<table>
<thead>
<tr>
<th>Contact Person:</th>
<th>Telephone:</th>
<th>Fax:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Type of Personnel, Equipment &amp; Material Available (follow Resource Typing Terminology)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Date &amp; Time Resources Available From:</th>
<th>To:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Staging Area Location:</td>
<td></td>
</tr>
<tr>
<td>Estimated Total Costs To Send Requested Assistance:</td>
<td>$</td>
</tr>
<tr>
<td>Trans. Costs from Home Utility to Staging Area:</td>
<td>$</td>
</tr>
<tr>
<td>Trans. Costs to Return to Home Utility:</td>
<td>$</td>
</tr>
<tr>
<td>Care, Shelter, Feeding Costs Required For Response:</td>
<td>$</td>
</tr>
<tr>
<td>Responding Authorized Rep:</td>
<td>Res. Authorized Rep's Signature:</td>
</tr>
<tr>
<td>Title:</td>
<td>Utility:</td>
</tr>
<tr>
<td>Dated:</td>
<td>Time: hrs</td>
</tr>
</tbody>
</table>

### Part III WARN COORDINATION

<table>
<thead>
<tr>
<th>WARN Rep:</th>
<th>Location:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Signature</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Dated:</th>
<th>Time: hrs</th>
<th>Request No:</th>
</tr>
</thead>
</table>

**Additional Information:**